

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35.U.S.C. 371

EXPRESS MAIL LABEL No. DATE  
EJ535322557US Jan 7, 1999

INVENTOR'S DOCKET NO.

A32213-PCT

U.S. APPLICATION NO.  
TBA

09/214679

INTERNATIONAL APPLICATION NO.  
PCT/EP97/03670

INTERNATIONAL FILING DATE  
July 10, 1997

PRIORITY DATE CLAIMED  
July 10, 1996

TITLE OF INVENTION

METHOD OF PREPARING (S) - OR (R) - 3,3,3-TRIFLUORO-2-HYDROXY-2-METHYL PROPIONIC ACID

APPLICANT(S) FOR DO/EO/US Briedan, Walter; Naughton, Andrew;

Robins, Karen; Shaw, Nicholas; Tinschert, Andreas and Zimmermann, Thomas

300 Rec'd PCT/PTO 07 JAN 1999

Applicant herewith submits to the United States Designated /Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

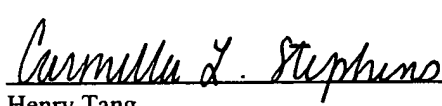
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Other items or information:

I hereby certify that this paper is being deposited with the  
United States Postal Service "Express Mail Post Office  
to Addressee" service under 37 C.F.R. §1.10 on the following  
date and is addressed to the Assistant Commissioner for  
Patents, Washington, D.C. 20231.

Express Mail No. EJ535322557US Date of Deposit: January 7, 1999.

Person Mailing Paper: Leroy B. Chick

Signature: *Leroy B. Chick*

INTERNATIONAL APPLICATION NO. PCT/EP97/03670		INTERNATIONAL FILING DATE July 10, 1997		PRIORITY DATE CLAIMED July 10, 1996	
17. [x] The following fees are submitted: <div style="margin-top: 10px;"> <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>  Search Report has been prepared by the EPO or JPO (1.492(a)(3)) ..... \$970.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  International Search Report prepared by the EPO or JPO (1.492(a)(5)) ..... \$840.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  international search fee (37 CFR 1.445(a)(2)) paid to USPTO(1.492(a)(2)) ..... \$760.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all  claims did not satisfy provisions of PCT Article 33(1)-(4) (1.492(a)(1)) ..... \$670.00    International preliminary examination fee paid to USPTO (37 CFR 1.482) and  all claims satisfied provisions of PCT Article 33(1)-(4) (1.492(a)(4)) ..... \$ 96.00    <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> </div>				<b>CALCULATIONS</b> PTO USE ONLY	
				\$840.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [x] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$ 130.00	
Claims	Number Filed	Number Extra	Rate	\$	
Total Claims	38-20=	18	X \$ 22.00	\$ 396.00	
Independent Claims	12-3=	9	X \$ 82.00	\$ 738.00	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$ 270.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$2374	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
<b>SUBTOTAL =</b>				\$	
Processing fee of \$130.00 for furnishing the English translation later than [x] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$	
<b>TOTAL NATIONAL FEE =</b>				\$ 130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$2504.00	
<b>TOTAL FEES ENCLOSED =</b>				\$	
				<b>Amt. refunded</b>	\$
				<b>charged</b>	\$2504.00
a. [x] A check in the amount of \$ <u>2,504.00</u> to cover the above fees is enclosed. b. [ ] Please charge our Deposit Account No. <u>02-4377</u> in amount of \$ <u>      </u> to cover the above fees. A copy of this sheet is enclosed. c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4377</u> . A copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:  BAKER & BOTTS, L.L.P. 30 Rockefeller Plaza New York, New York 10112-0228				 Henry Tang Registration No. 29,705  Carmella L. Stephens Registration No. 41,328	